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# Improving Utility Mining Based on Principal Component Analysis

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**ABSTRACT:** Lately, utility mining has grown as a developing area in Data Mining field. It remains computationally costly both as far as runtime and memory utilization. Data type, quality, and dimensionality of the dataset are some of the factors which affect the computational cost of utility mining. However, high dimensionality of the dataset causes more difficulties in managing runtime and memory utilization during mining process as compared to the other two factors. A best possible solution could be the principal component analysis of the dataset in such a way that it should retain the significant information present in the dataset while discarding the noise. Hence it is a significant challenge for data mining researchers to design a more proficient method for extracting high utility itemsets. We develop a novel principal component analysis method in this paper by addressing the above issue for improving the efficiency of utility itemset mining with restricted memory space and processing time.

## I. INTRODUCTION

Recently, growth in the database technology leads to a large volume of digital data. This high-dimensional data is a challenge for humans to extract valuable information. Data mining technique helps this task the easiest one by searching relevant information in a high volume of datasets put away in numerous databases, data warehouses or some other data vaults. This method is a profoundly interdisciplinary precinct spreading over from extent disciplines like Statistics, Machine Learning, Databases, Pattern Recognition and others. Numerous data mining methods were technologically advanced for the universe of business, for example for client relationship administration. Traditional data mining systems, have focused to a great extent on distinguishing the statistical relationships among objects which are most recurrent in vast transaction databases without plunging the size [1]. Such data mining methods are called as frequent itemset mining [2]; where objects which seem all the most much of the time is added more significance to the customer from the business point of view. Frequent itemsets mining is a central and vital problem in various data mining applications [2]. In most of the decision-making areas such as business exchanges, medicinal, security, deceitful exchanges, and retail groups, the frequent itemset mining cannot itself be able to provide the relevant information for making decisions. Consider the instance of a general store; customers buy ovens or washing machines infrequently when contrasted with items like milk, eggs, cleanser, etc. However, the previous transactions return more benefit to the general store. Additionally, the more benefit frequent things are observed as exceptionally helpful in numerous applications. Consider an application in the medical field, the unusual grouping of frequent signs could give helpful bits of knowledge to specialists. In most well-established companies, the manager might be keen on recognizing its most efficient employer i.e. who can contribute a noteworthy portion of the overall business benefit. All of these applications consider utility commitments that can allude to the importance or the price or the look of the items in transactions measured on profit, benefit, deals or whatever other user inclinations more than the frequency of occurrence of things in transactions during the mining process. Such kind of data mining is called Frequent Utility Itemset Mining that can cover all characteristics of financial values and thus helps in the extraction of things that are frequent and have high utility value.

The datasets in this data mining environment are usually large. Modern large datasets are often viewed as large matrices or high dimensional matrices. Mining such datasets cause lots of difficulties in mining process and also consumes much processing cost and time. Reducing the size of such a large dataset without losing significant information is the best solution for overcoming such issues. For that, we need to apply a principal component analysis algorithm before starting the data mining processing. principle component analysis is a vital study area in numerous applications, for example, pattern recognition, artificial intelligence, data mining and statistics. The primary objective of principle component analysis is to lessen the size of the dataset with the end goal that the significant information contained in the data should be preserved and the reduced dataset should convey all necessary information. In this



paper, a novel concept for reducing the dimensionality of the dataset is presented that includes utility values. This methodology can be extremely valuable much of the time, for example, for making suggestions about which book or gadget to purchase, for searching profoundly hidden mineral residues without penetrating, for investigating the protein structure, for recognizing doubtful messages or calls, and for making sense of what information a document set focused on.

## II. PROPOSED METHODOLOGY

Principal Component Analysis, or PCA, is a dimensionality-reduction method that is often used to reduce the dimensionality of large data sets, by transforming a large set of variables into a smaller one that still contains most of the information in the large set.

Reducing the number of variables of a data set naturally comes at the expense of accuracy, but the trick in dimensionality reduction is to trade a little accuracy for simplicity. Because smaller data sets are easier to explore and visualize and make analyzing data much easier and faster for machine learning algorithms without extraneous variables to process.

So, to sum up, the idea of PCA is simple; reduce the number of variables of a data set, while preserving as much information as possible.

### STEP 1: STANDARDIZATION

The aim of this step is to standardize the range of the continuous initial variables so that each one of them contributes equally to the analysis.

More specifically, the reason why it is critical to perform standardization prior to PCA, is that the latter is quite sensitive regarding the variances of the initial variables. That is, if there are large differences between the ranges of initial variables, those variables with larger ranges will dominate over those with small ranges (For example, a variable that ranges between 0 and 100 will dominate over a variable that ranges between 0 and 1), which will lead to biased results. So, transforming the data to comparable scales can prevent this problem.

Mathematically, this can be done by subtracting the mean and dividing by the standard deviation for each value of each variable.

$$z = \frac{\text{value} - \text{mean}}{\text{standard deviation}}$$

Once the standardization is done, all the variables will be transformed to the same scale.

### STEP 2: COVARIANCE MATRIX COMPUTATION

The aim of this step is to understand how the variables of the input data set are varying from the mean with respect to each other, or in other words, to see if there is any relationship between them. Because sometimes, variables are highly correlated in such a way that they contain redundant information. So, in order to identify these correlations, we compute the covariance matrix.

The covariance matrix is a  $p \times p$  symmetric matrix (where  $p$  is the number of dimensions) that has as entries the covariances associated with all possible pairs of the initial variables. For example, for a 3-dimensional data set with 3 variables  $x$ ,  $y$ , and  $z$ , the covariance matrix is a  $3 \times 3$  matrix of this form:



$$\begin{bmatrix} Cov(x, x) & Cov(x, y) & Cov(x, z) \\ Cov(y, x) & Cov(y, y) & Cov(y, z) \\ Cov(z, x) & Cov(z, y) & Cov(z, z) \end{bmatrix}$$

Covariance Matrix for 3-Dimensional Data

Since the covariance of a variable with itself is its variance (Cov(a,a)=Var(a)), in the main diagonal (Top left to bottom right) we actually have the variances of each initial variable. And since the covariance is commutative (Cov(a,b)=Cov(b,a)), the entries of the covariance matrix are symmetric with respect to the main diagonal, which means that the upper and the lower triangular portions are equal.

What do the covariances that we have as entries of the matrix tell us about the correlations between the variables?

It's actually the sign of the covariance that matters:

- if positive then: the two variables increase or decrease together (correlated)
- if negative then: One increases when the other decreases (Inversely correlated)

Now, that we know that the covariance matrix is not more than a table that summaries the correlations between all the possible pairs of variables, let's move to the next step.

### STEP 3: EIGENVECTORS AND EIGENVALUES

Eigenvectors and eigenvalues are the linear algebra concepts that we need to compute from the covariance matrix in order to determine the principal components of the data. Before getting to the explanation of these concepts, let's first understand what do we mean by principal components.

Principal components are new variables that are constructed as linear combinations or mixtures of the initial variables. These combinations are done in such a way that the new variables (i.e., principal components) are uncorrelated and most of the information within the initial variables is squeezed or compressed into the first components. So, the idea is 10-dimensional data gives you 10 principal components, but PCA tries to put maximum possible information in the first component, then maximum remaining information in the second and so on, until having something like shown in the scree plot below.

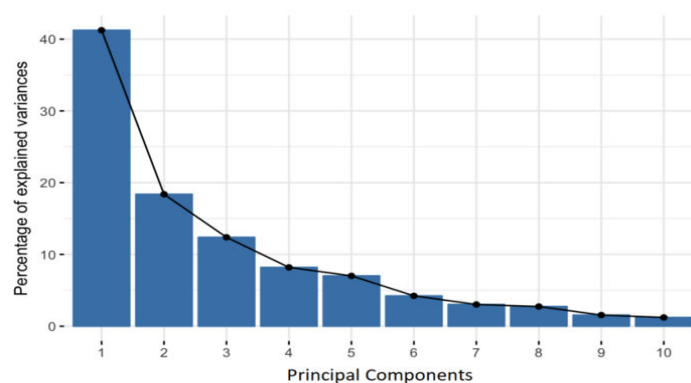


Fig1. Percentage of Variance (Information) for each by PC



Organizing information in principal components this way, will allow you to reduce dimensionality without losing much information, and this by discarding the components with low information and considering the remaining components as your new variables.

An important thing to realize here is that, the principal components are less interpretable and don't have any real meaning since they are constructed as linear combinations of the initial variables.

Geometrically speaking, principal components represent the directions of the data that explain a **maximal amount of variance**, that is to say, the lines that capture most information of the data. The relationship between variance and information here, is that, the larger the variance carried by a line, the larger the dispersion of the data points along it, and the larger the dispersion along a line, the more the information it has. To put all this simply, just think of principal components as new axes that provide the best angle to see and evaluate the data, so that the differences between the observations are better visible.

As there are as many principal components as there are variables in the data, principal components are constructed in such a manner that the first principal component accounts for the **largest possible variance** in the data set. For example, let's assume that the scatter plot of our data set is as shown below, can we guess the first principal component? Yes, it's approximately the line that matches the purple marks because it goes through the origin and it's the line in which the projection of the points (red dots) is the most spread out. Or mathematically speaking, it's the line that maximizes the variance (the average of the squared distances from the projected points (red dots) to the origin).

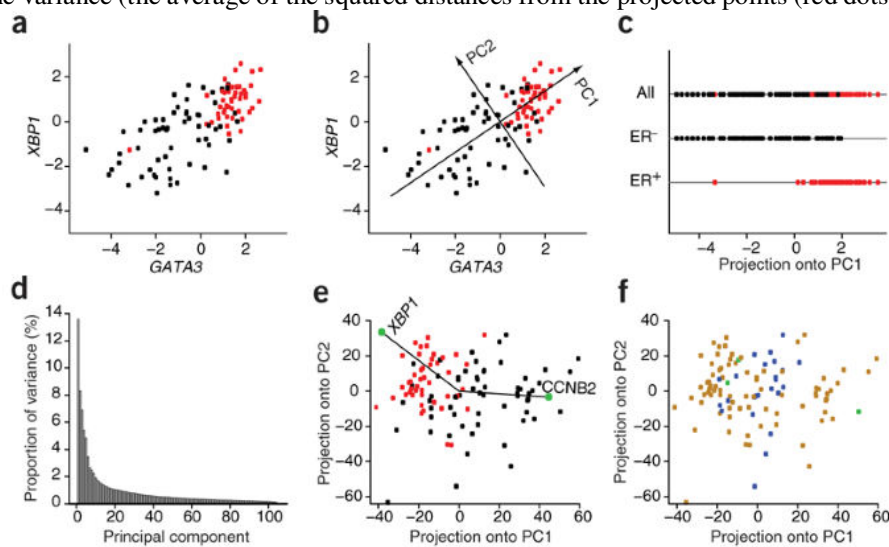


Fig2. Plot of Principle Components

The second principal component is calculated in the same way, with the condition that it is uncorrelated with (i.e., perpendicular to) the first principal component and that it accounts for the next highest variance.

This continues until a total of p principal components have been calculated, equal to the original number of variables.

Now that we understood what we mean by principal components, let's go back to eigenvectors and eigenvalues. What you firstly need to know about them is that they always come in pairs, so that every eigenvector has an eigenvalue. And their number is equal to the number of dimensions of the data. For example, for a 3-dimensional data set, there are 3 variables, therefore there are 3 eigenvectors with 3 corresponding eigenvalues.

Without further ado, it is eigenvectors and eigenvalues who are behind all the magic explained above, because the eigenvectors of the Covariance matrix are actually the directions of the axes where there is the most variance (most information) and that we call Principal Components. And eigenvalues are simply the coefficients attached to eigenvectors, which give the amount of variance carried in each Principal Component.



By ranking your eigenvectors in order of their eigenvalues, highest to lowest, you get the principal components in order of significance.

**Example:**

let's suppose that our data set is 2-dimensional with 2 variables x,y and that the eigenvectors and eigenvalues of the covariance matrix are as follows:

$$v_1 = \begin{bmatrix} 0.6778736 \\ 0.7351785 \end{bmatrix} \quad \lambda_1 = 1.284028$$

$$v_2 = \begin{bmatrix} -0.7351785 \\ 0.6778736 \end{bmatrix} \quad \lambda_2 = 0.04908323$$

If we rank the eigenvalues in descending order, we get  $\lambda_1 > \lambda_2$ , which means that the eigenvector that corresponds to the first principal component (PC1) is  $v_1$  and the one that corresponds to the second component (PC2) is  $v_2$ .

After having the principal components, to compute the percentage of variance (information) accounted for by each component, we divide the eigenvalue of each component by the sum of eigenvalues. If we apply this on the example above, we find that PC1 and PC2 carry respectively 96% and 4% of the variance of the data.

**STEP 4: FEATURE VECTOR**

As we saw in the previous step, computing the eigenvectors and ordering them by their eigenvalues in descending order, allow us to find the principal components in order of significance. In this step, what we do is, to choose whether to keep all these components or discard those of lesser significance (of low eigenvalues), and form with the remaining ones a matrix of vectors that we call Feature vector.

So, the feature vector is simply a matrix that has as columns the eigenvectors of the components that we decide to keep. This makes it the first step towards dimensionality reduction, because if we choose to keep only  $p$  eigenvectors (components) out of  $n$ , the final data set will have only  $p$  dimensions.

**Example:**

Continuing with the example from the previous step, we can either form a feature vector with both of the eigenvectors  $v_1$  and  $v_2$ :

$$\begin{bmatrix} 0.6778736 & -0.7351785 \\ 0.7351785 & 0.6778736 \end{bmatrix}$$

Or discard the eigenvector  $v_2$ , which is the one of lesser significance, and form a feature vector with  $v_1$  only:

$$\begin{bmatrix} 0.6778736 \\ 0.7351785 \end{bmatrix}$$

Discarding the eigenvector  $v_2$  will reduce dimensionality by 1, and will consequently cause a loss of information in the final data set. But given that  $v_2$  was carrying only 4% of the information, the loss will be therefore not important and we will still have 96% of the information that is carried by  $v_1$ .

So, as we saw in the example, it's up to you to choose whether to keep all the components or discard the ones of lesser significance, depending on what you are looking for. Because if you just want to describe your data in terms of new variables (principal components) that are uncorrelated without seeking to reduce dimensionality, leaving out lesser significant components is not needed.



**STEP 5: RECAST THE DATA ALONG THE PRINCIPAL COMPONENTS AXES**

In the previous steps, apart from standardization, you do not make any changes on the data, you just select the principal components and form the feature vector, but the input data set remains always in terms of the original axes (i.e, in terms of the initial variables).

In this step, which is the last one, the aim is to use the feature vector formed using the eigenvectors of the covariance matrix, to reorient the data from the original axes to the ones represented by the principal components (hence the name Principal Components Analysis). This can be done by multiplying the transpose of the original data set by the transpose of the feature vector.

$$FinalDataSet = FeatureVector^T * StandardizedOriginalDataSet^T$$

**III. RESULT AND DISCUSSION**

We have taken electronics component warehouse as an example for testing our proposed project. We have implemented our project in MATLAB 2018b. We have designed graphical user interface like above steps as a selection button.

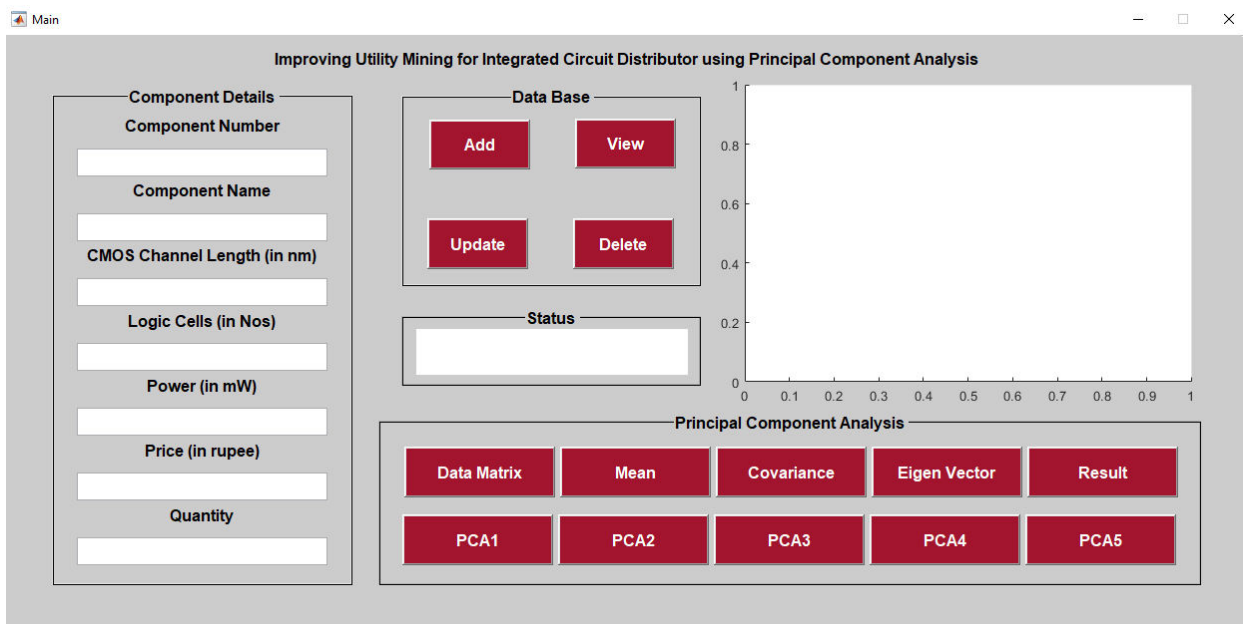


Fig3. GUI of our proposed project.

We can add, view, update and delete any component details with respect to its component ID.

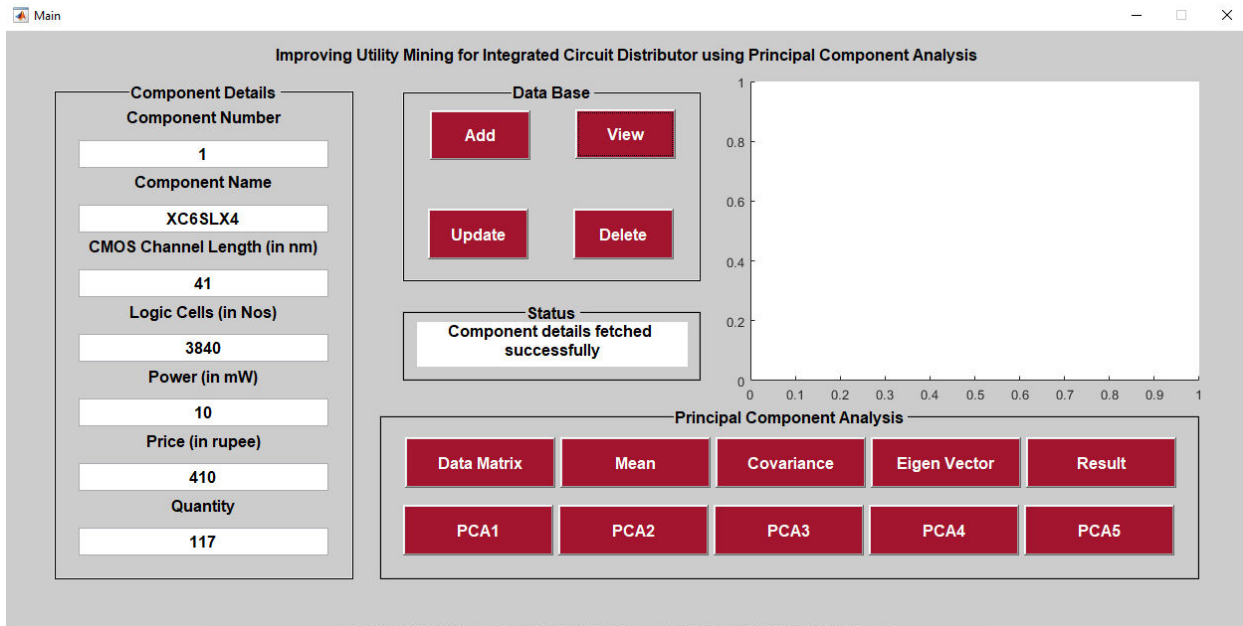


Fig4. CRUD operation of data record.

After entering all components data records like Component Number, Name, CMOS Channel Length, Logic Cells, Power, Price and Quantity, we can generate and analysis of Data Matrix.

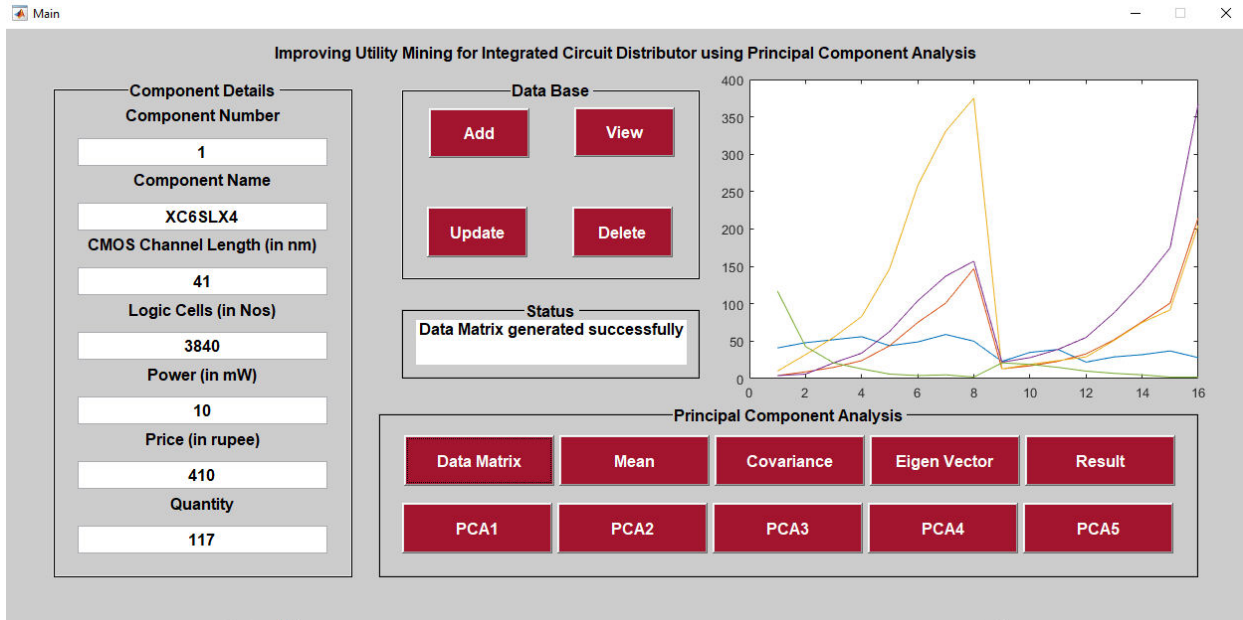


Fig5. Data Matrix generation and analysis.

We have 16 records in Data Matrix, then we apply mean, covariance, eigen vector and score matrix to calculate PCA. Below tables are comparison between Data Matrix and Score Matrix.





Component Number	Component Name	CMOS Channel Length (in mm)	Logic Cells (in Nos)	Power (in mW)	Price (in rupee)	Quantity
1	XC6SLX4	41	3840	10	410	117
2	XC6SLX9	48	9152	32	563	43
3	XC6SLX16	52	14579	55	2088	21
4	XC6SLX25	56	24051	83	3391	13
5	XC6SLX45	44	43661	147	6341	6
6	XC6SLX75	49	74637	258	10391	4
7	XC6SLX100	59	101261	331	13714	5
8	XC6SLX150	50	147443	375	15749	2
9	XC7A12T	23	12800	13	2194	21
10	XC7A15T	35	16645	19	2831	19
11	XC7A25T	39	23360	24	3946	15
12	XC7A35T	22	33280	29	5541	10
13	XC7A50T	29	52160	51	8811	7
14	XC7A75T	32	75520	75	12801	5
15	XC7A100T	37	101440	92	17486	2
16	XC7A200T	28	215360	205	36713	2

Table1: Data Matrix with different Principal Component Parameters.

Component Number	Component Name	CMOS Channel Length (in mm)	Logic Cells (in Nos)	Power (in mW)	Price (in rupee)	Quantity
16	XC7A200T	28	215360	205	36713	2
8	XC6SLX150	50	147443	375	15749	2
15	XC7A100T	37	101440	92	17486	2
7	XC6SLX100	59	101261	331	13714	5
14	XC7A75T	32	75520	75	12801	5
6	XC6SLX75	49	74637	258	10391	4
5	XC6SLX45	44	43661	147	6341	6
4	XC6SLX25	56	24051	83	3391	13
3	XC6SLX16	52	14579	55	2088	21
2	XC6SLX9	48	9152	32	563	43
1	XC6SLX4	41	3840	10	410	117
9	XC7A12T	23	12800	13	2194	21
10	XC7A15T	35	16645	19	2831	19
11	XC7A25T	39	23360	24	3946	15
12	XC7A35T	22	33280	29	5541	10
13	XC7A50T	29	52160	51	8811	7

Table1: Result Matrix with Principal Component 1 (Logic Cell).

#### IV. CONCLUSION

Our work introduces a novel way to deal with the principal component analysis of utility data for enhancing the execution of utility mining in which each item's utility values are permitted to be dynamic in a predefined timeframe, not at all like conventional methodologies where these values are static within the timeframe. Also, as a future work, our methodology can be further improved by incorporating a fuzzy model where utilities are defined as fuzzy values. Accordingly, it can build up a proficient and related technique to real-life information and can catch real-world conditions in fuzzy utility mining.

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