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# Strain Transfer Function for Mechanistic-Empirical Fatigue Design of Asphalt Pavements

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**ABSTRACT:** Structural failure of an asphalt pavement is primarily caused due to fatigue and rutting failures. To measure fatigue performances, the critical horizontal tensile strain ( $\mathcal{E}_t$ ) at the bottom of asphalt layer is popularly used in the mechanistic-empirical (M-E) pavement design process. However, the computation of  $\mathcal{E}_t$  in a 3-D multilayered pavement structure with distributed loading is a complex phenomenon. This paper attempts to present simple strain transfer functions for estimation of  $\mathcal{E}_t$ , considering 3-D nonlinear analysis of asphalt pavements. The transfer function is validated and found adequate in prediction of  $\mathcal{E}_t$ . Degree of accuracy has also been justified statistically. ABAQUS software is used for analysis of pavement structures.

KEYWORDS: Asphalt pavement, strain, fatigue.

#### I. INTRODUCTION

Mechanistic-Empirical (M-E) design method is being popularly used for structural design of asphalt pavements [1-7]. Traditionally, the mechanistic parameters like critical horizontal tensile strain ( $\mathcal{E}_t$ ) at the bottom of asphalt layer is correlated empirically with fatigue performance in asphalt pavements. However, the accurate estimation of  $\mathcal{E}_t$  in pavement structure is a complex issue. Primary reasons of such complexities are multilayered and its interaction between the layers, visco-elastic behaviour of asphalt material, structural boundary conditions, axle and wheel configurations, environmental factors etc. To avoid these, certain simplifications and approximations are made and the solutions are obtained through numerical analysis. Software such as ABAQUS, ANSIS, KENPAVE, FPAV etc are used by various researchers [8-14] for pavement analysis. It requires high technical skill, expertise manpower and computing facility as well. However, a designer or a state department may not be comfortable for such infrastructures and efforts. This paper attempts to present simple expressions for strains calculation in multilayered asphalt pavements, including its acceptability and validation. Finite element method (FEM) based software 'ABAQUS' is adopted for analysis of multilayered pavement sections.

#### II. BACKGROUND

Current practice of asphalt pavements design, popularly known as Mechanistic-Empirical (M-E) design method is followed in various guidelines [1-7]. Fatigue and rutting are considered as two primary modes of structural failures. Normally, the failure criteria adopted as 20% of surface cracks area in case of fatigue and 20mm of rut depth in case of rutting failure. The numbers of load repetitions till failure is recorded as pavement life. Fatigue life ( $N_f$ ) is empirically correlated with the critical strain parameter of the section. A general form of fatigue equation may be expressed as given in Eq.(1).



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$$N_f = k_1 \times \left(\frac{1}{\varepsilon_t}\right)^{k_2} \times \left(\frac{1}{E_1}\right)^{k_3} \tag{1}$$

where,  $N_f$  is the fatigue life;  $\varepsilon_t$  is the initial critical horizontal tensile strain at the bottom of asphalt layer;  $E_1$  is the initial stiffness of asphalt material; and,  $k_1$ ,  $k_2$ , and  $k_3$  are regression constants. Different literatures suggest different values for these parameters. Some of them are listed in Table 1.

Table 1. Parameters of fatigue equation adopted in M-E pavement design.

Organization	$k_1$	$k_2$	$k_3$	References
Indian Roads Congress	$2.21 \text{x} 10^{-4}$	3.89	0.854	[3]
Asphalt Institute	0.0795	3.291	0.854	[1, 16]
Shell Research	0.0685	5.671	2.363	[4, 15]
US Army Corps of Engineers	497.156	5	2.66	[15, 16]
Belgian Road Research Center	$4.92 \times 10^{-14}$	4.76	0	[16]
Transport and Road Research	$1.66 \text{x} 10^{-10}$	4.32	0	[5, 16]
Laboratory				

It may be mentioned that  $N_f$  and  $\mathcal{E}_t$  shall be in respect of same loading conditions (say, standard axle load of 80kN). Replacing  $N_f$  by the total number of traffic repetitions to be sustained during the design life, the maximum allowable  $\mathcal{E}_t$  value can be determined from Eq.(1). Accordingly, comparing these strains values with the computed  $\mathcal{E}_t$  as obtained from structural analysis, the thicknesses of the design layer(s) can be decided iteratively.

To calculate  $\mathcal{E}_t$  value, it needs to solve 3-D equilibrium and compatibility equations, along with adequate boundary conditions. Approximate solution can be obtained through numerical analysis. FEM is one of the most powerful numerical techniques, which is widely used for such solutions. FEM based software 'ABAQUS' has been adopted by various researchers [8-12] for numerical analysis of pavement structures. In the present work also, ABAQUS is used to model and analyze the asphalt pavements, and it is discussed in the next section.

#### III. MODELING OF PAVEMENT STRUCTURE

Normally, an asphalt pavement structure contains 3 to 4 number of load bearing layers. In this study, a 3-layred and a 4-layred pavement has been modeled as 3-D nonlinear elastic structure with finite boundaries. A 3-layred pavement section is shown in Figure 1. FEM based analysis of the pavement is carried out in the ABAQUS environment. Eight noded linear brick elements with reduce integration (C3D8R) is used in analysis, which considers only one integration point at the middle. This element has ability to reduce the computational effort without significant affect on the accuracy. Each element is considered with three degrees of freedom (i.e. displacement in X, Y and Z -directions). Pavement section of 3.5m×10m with end conditions of zero displacement in transverse (-X) and longitudinal (-Y) directions, and fixed end at the bottom of subgrade are used, including rough interface between two layers. A fine mesh of 4.9cm x 7.2cm for asphalt layer, and coarse mesh of 9.8cm × 14.24cm for granular and subgrade layers are chosen. This is depicted in Figure 1. The analysis of pavement structures has been discussed in the next section.



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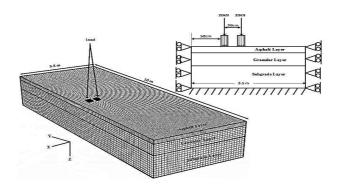


Figure 1. 3-layred asphalt pavement structure.

#### IV. PAVEMENT ANALYSIS AND MODEL DEVELOPMENT

In M-E pavement design process, the fatigue performance is predicted based on initial critical horizontal tensile strain ( $\mathcal{E}_t$ ) at the bottom of asphalt layer. Table 2 shows the layers information for a 3-layerd pavement section. To represent the different cases, possible ranges of each parameter has been considered as shown in the table. Poisson's ratio is taken as constant due to negligible effect (Huang, 2004). A uniformly distributed standard dual wheel load of 20kN with tyre pressure of 0.7MPa over its contact area has been adopted for all the cases.

Table 2. Layers information used for 3-layerd pavement analysis.

Layer	Thickness (cm)	E-value (MPa)	Poisson's ratio
Asphalt layer	15 - 20	800 - 2000	0.3
Granular base layer	30 - 50	200 - 400	0.35
Subgrade	100	40 - 100	0.35

For different combinations of layers input as given in Table 2, the strain parameter ( $\mathcal{E}_t$ ) are computed through ABAQUS. Figure 2 shows the strains contour in one case obtained from the ABAQUS analysis. The variations of  $\mathcal{E}_t$  with asphalt modulus ( $E_1$ ) is shown in Figure 3. Negative value indicates compression. Similarly,  $\mathcal{E}_t$  variation with other variable parameters is also presented in Figures (4) – (7).

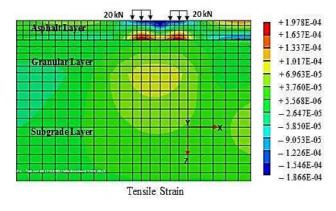


Figure 2. Contour plots of tensile strain in 3-layered pavement structure.

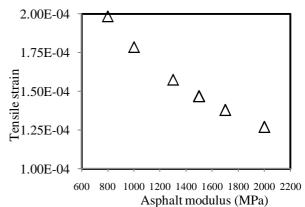


Figure 3. Strain variations with modulus  $(E_1)$  of asphalt layer.



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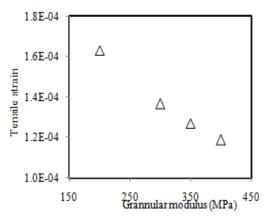


Figure 4. Strain variations with modulus (E<sub>2</sub>) of granular layer.

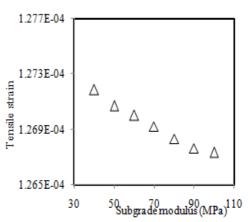


Figure 5. Strain variations with modulus (E<sub>3</sub>) of subgrade layer.

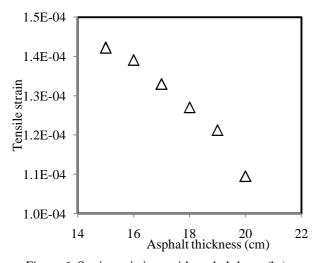


Figure 6. Strain variations with asphalt layer  $(h_1)$  thickness.

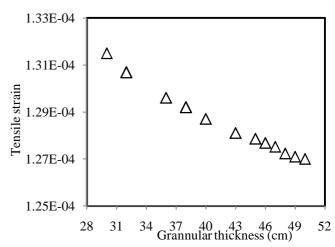


Figure 7. Strain variations with granular layer (h<sub>2</sub>) thickness.

From Figures (3) – (7), it is observed that  $\mathcal{E}_t$  parameter is closely correlated with the independent parameters. Combining all the independent variables together, the nonlinear multivariable best fit curves for a 3-layred pavement structure are derived using EViews as given in Eq.(2).

$$\varepsilon_t = f_1 + f_2 \ln(E_1) + f_3 \ln(E_2) + f_4 \ln(E_3) + f_5 \ln(h_1) + f_6 \ln(h_2)$$
 (2)

where,  $E_1$ ,  $E_2$  and  $E_3$  are the modulii of asphalt, granular and subgrade layer respectively in MPa;  $h_1$  and  $h_2$  are the thicknesses of asphalt and granular layer respectively in cm, and  $f_i$  are the model parameters. The  $f_i$  parameters are tabulated in Table 3. From Table 3, it is seen that the  $E_3$  and  $h_2$  parameters are not significant to  $\varepsilon_t$ , as compare to other parameters. This is what it is expected also.



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Table 3. Parameters of strain model of 3-layerd asphalt pavement.

Parameter	Value
$f_1$	$1.453 \times 10^{-03}$
$f_2$	$-7.998\times10^{-05}$
$f_3$	- 6.595×10 <sup>-05</sup>
$f_4$	$-1.421 \times 10^{-07}$
$f_5$	- 1.060×10 <sup>-04</sup>
$f_6$	- 6.913×10 <sup>-06</sup>

Eq.(2) is obtained statistically and therefore, it is essential to justify its confidence level in prediction. To this effect and to examine the adequacy of model, various statistical parameters of the strain model are evaluated and are tabulated in Table 4. As seen in the table, it may be concluded that the independent parameter posses good correlation with the dependent parameter (i.e.  $\mathcal{E}_{t}$ ) and may be acceptable.

Table 4. Statistical parameters of the strain model for 3-layerd asphalt pavement.

Parameter	Tensile strain model
R-squared	0.995
Adjusted R-squared	0.994
Std. error of regression	$1.95 \times 10^{-06}$
Sum squared residue	$1.14 \times 10^{-10}$
F-statistic	1234
Prob. of F-statistic	0.000

In a similar way, the strain transfer functions are also developed for 4-layered pavement structures viz. asphalt, granular base, granular sub-base and subgrade layers, and found that the strain parameter ( $\mathcal{E}_t$ ) is closely correlated with the structural input parameters. The nonlinear multivariable best fit curves for  $\mathcal{E}_t$  in 4-layerd pavement structure are derived as given in Eq.(3).

$$\varepsilon_t = f_1 + f_2 \ln(E_1) + f_3 \ln(E_2) + f_4 \ln(E_3) + f_5 \ln(E_4) + f_6 \ln(h_1) + f_7 \ln(h_2) + f_8 \ln(h_3)$$
 (3)

where,  $E_1$ ,  $E_2$ ,  $E_3$  and  $E_4$  are the modulii of asphalt, granular base, granular sub-base and subgrade layer respectively in MPa;  $h_1$ ,  $h_2$  and  $h_3$  are the thicknesses of asphalt, granular base and granular sub-base layer respectively in cm; and  $f_i$ , are the model parameters. The  $f_i$  parameters are tabulated in Table 5.

Table 5. Parameters of strain model for 4-layreda asphalt pavement.

Parameter	Value
$f_I$	$1.405 \times 10^{-03}$
$f_2$	- 7.25×10 <sup>-05</sup>
$f_3$	- 7.39×10 <sup>-05</sup>
$f_4$	$-5.12\times10^{-06}$
$f_5$	- 1.31×10 <sup>-06</sup>
$f_6$	- 8.21×10 <sup>-05</sup>
$f_{7}$	- 4.37×10 <sup>-06</sup>
$f_8$	- 1.14×10 <sup>-06</sup>



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To examine the adequacy in strain prediction, various statistical parameters of the strain model are evaluated using EViews and are tabulated in Table 6. As seen in the table, it may be concluded that the independent parameter posses good correlation with the dependent parameter (i.e.  $\mathcal{E}_t$ ) and thus, the correlation may be acceptable.

Table 6. Statistical parameters of the strain model for 4-layerd asphalt pavement.

Parameter	Tensile strain model
R-squared	0.999
Adjusted R-squared	0.999
Std. error of regression	$3.93 \times 10^{-07}$
Sum squared residue	$5.10 \times 10^{-12}$
F-statistic	7232
Prob. of F-statistic	0.000

Further, it is attempted to validate the developed models using separate sets of input data, through ABAQUS and KENPAVE analysis as well. This is illustrated in the next section.

#### V. VALIDATION

In order to validate the statistical strains transfer functions, different asphalt pavement sections are analyzed considering separate sets of input data. The strain ( $\mathcal{E}_t$ ) values are evaluated using both ABAQUS and KENPAVE analysis program. The comparison of  $\mathcal{E}_t$  value calculated from pavement analysis and the developed strain model is shown in Figure 8. To compare the strain transfer functions in terms of the fatigue life ( $N_f$ ) and prediction, a comparison of  $N_f$  in million standard axles (msa) is presented in Figure 9. Regression constants of the fatigue equation are adopted as per TRL models (Huang, 2004). Thus, from Figure 8 or Figure 9 it may be concluded that the model prediction is sufficiently good as that of ABAQUS or KENPAVE analysis programs.

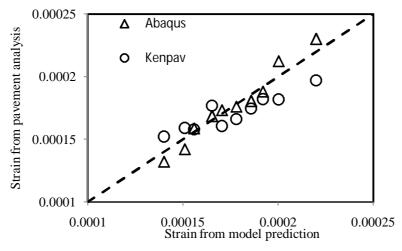


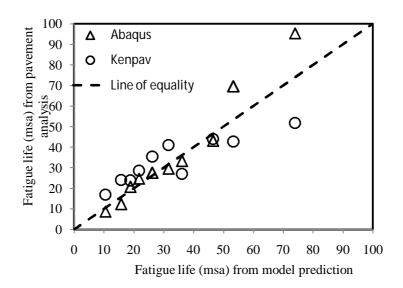
Figure 8. Comparison of tensile strain parameter in asphalt pavements.



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#### VI. CONCLUSIONS

Simple strain transfer function for horizontal tensile strain ( $\mathcal{E}_t$ ) in asphalt pavements has been developed and presented in the paper. The proposed  $\mathcal{E}_t$  function is validated and found adequate in prediction. Statistically also, this transfer function is tested and found confident. Thus, the proposed strain model can easily be adopted for fatigue life estimation in the pavement design process, and can be avoided complex analysis and high computational effort. Also, to incorporate the reliability or probability in pavement design, one can easily know the distribution of  $\mathcal{E}_t$  for any given distributions of their input parameters. For example, the  $\mathcal{E}_t$  parameter would follow normal distribution, in case the inputs  $E_i$  and  $h_i$  in the strain functions (Eqs. (2) and (3)) are log-normally distributed.

Towards M-E fatigue design of asphalt pavements, this work focuses on establishing an acceptable statistical correlation between the strain parameter ( $\mathcal{E}_t$ ) and layers information in 3-layerd and 4-layerd pavement structures. However, they have few limitations like the effect of moving loads, visco-elastic behaviouretc are not accounted and are recommended for further studies.

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