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Parallel Folded Architecture for Encoding and Decoding the Polar (16,k) Code

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ABSTRACT: The polar encoding is one among the most effective error correcting code attributable to the channel achieving property. It finds its application in communication, information theory. This property helps the polar codes to handle long length codes. Implementation of fully parallel encoder is easy when code length is small, as the code length increases the hardware implementation becomes more complex. Successive cancellation (SC) algorithm is viewed as a good candidate for hardware design of fully polar decoders due to its low complexity. To overcome this disadvantage the partially parallel encoder and decoder were designed. This newly designed encoder and decoder handles the long polar codes with less hardware complexity and can be used in the design of any polar code with any level of parallelism. The process is analyzed in the view point of very-large-scale integration.

KEYWORDS: Polar codes, partially parallel encoder and decoder, VHDL implementation.

I. INTRODUCTION

Polar codes were recently shown to be able to achieve the capacity of a wide class of communication channels while having very low encoding and decoding complexity [1]. Polar code is a linear block error correcting code. The code construction is based on a multiple recursive concatenation of a short kernel code which transforms the physical channel into virtual outer channels. As a result of the data rate achieving property, the polar code is presently thought of as a significant breakthrough to writing theory, and additionally the relevance of the polar code is being investigated in many applications, as well as information storage devices. Although the polar code has been thought about being with low Complexity, but it suffers from severe hardware complexity and long inactivity. Therefore, architecture that which will efficiently beware of long polar codes is necessary to make the VLSI implementation feasible.

Construction of polar codes encoding and decoding algorithms have been done [1]-[5]. Successive cancelation (SC), decoder has been traditionally used in [9]-[11]. On the other side, hardware architectures for polar coding have rarely been mentioned. Successive Cancelation (SC) decoding process, which isn't appropriate for lengthy polar-codes owing to extreme hardware density. Linear block code with appropriate parameters can be used to perform block wise decoding of outer codes. Compared to the prior best channel codes, polar codes can potentially outperform LDPC codes in terms of error-correcting performance with the similar code-length and code rate. That means polar codes can help build more reliable and robust data transmission. As a result, they are viewed as the competitive channel codes candidate in the next generation of communication and storage systems.

II. RELATED WORK

E. Arikan [1] proposed a method called channel polarization in order to construct code sequences that achieve the symmetric capacity of any given binary input discrete memory less channel. The basic idea that channels tend to polarize with respect to rate and reliability under certain combining and splitting operations. The polar code utilizes the channel polarization phenomenon that each channel is a perfectly reliable or a completely noisy channel. A priori K, which is the reliability of each subchannel are used to transmit the information and remaining are set to construct a polar (N, K) code. R. Mori [2] proposed a new capacity-achieving code construction method with linear complexity in the block length for arbitrary symmetric binary-input memory less channels. Tal [4] described a successive-cancellation list decoder for polar codes, which is a generalization of the classic successive-cancellation decoder of Arikan [1]. The



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proposed list decoder bridges the gap between successive-cancellation and maximum likelihood decoding of polar codes. Kai chen [5] presented improved versions of the successive-cancellation (SC) decoding algorithm, successive-cancellation list (SCL) to improve the finite length performance of polar codes. G. Sarkis [6] [7] investigated the suitability of polar codes for data storage applications. The memory effects of the channel need be studied and a solution proposed that polar codes are suitable for data storage systems, and also presented an algorithm, architecture and FPGA implementation of a flexible, gigabit-per-second polar which increased the throughput of the decoder. B. Yuan [13] Presented reformulated SC list decoding algorithm. The latency is reduced without effecting performance by reformulated algorithm. K. K. Parhi [16] proposed a general approach to calculate the minimum number of registers in any digital signal processing (DSP) circuit for any arbitrarily specified life time chart and periodicity of computation. M. Ayinala [18] presented a novel approach can be used to derive partially parallel architectures of any arbitrary parallelism level. Using this approach parallel architectures have been proposed for computation of complex FFT base don radix-2 algorithms. The power consumption can be reduced by 37% and 50% in proposed 2- parallel and 4-parallel architectures respectively. These are very suitable for applications in implantable or portable devices due to their low area and power consumption.

III. POLAR ENCODING

The polar code belongs to the category of linear block codes, the encoding process can be characterized by the generator matrix. The generator matrix for code length N or is obtained by applying the *n*th kronecker power to the

kernel matrix $F = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ [1]. The generator matrix is given then the codeword is computed by x=u, where *u* represent information vector arranged in a natural order, and *x* represents codeword vector arranged in bit reversed order. The encoding complexity of $O(N \log N)$ for a polar code of length *N* and take *n* stages when $N=2^n$. For instance a polar code with a length of 16 bits is enforced with 32 XOR gates and processed with 4 stages within a total parallel encoder as shown in Fig.1. The fully parallel encoder is intuitively designed and supported on the generator matrix, however implementing such an encoder becomes a significant burden once an extended polar code is employed to achieve a good error correcting performance. The memory size and also range of XOR gates increase as the code length increases in implementations.



Fig.1 Fully parallel architecture for encoding a 16-bit polar code



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IV. POLAR ENCODER AND DECODER

To design partially parallel encoder first the parallel encoding architecture is transformed to a folded form [15],[18], after that the lifetime analysis [16] and register allocation unit[17] are applied to the folded architecture. Lastly, the proposed parallel architecture for long polar codes is described.

FOLDING TRANSFORMATION

The folding transformation is widely used to save hardware resources by time multiplexing various operations on functional unit. A data low graph corresponding to the fully parallel encoding process is shown in Fig 2. Where node represents the kernel matrix operation F, wij and denotes the jth edge at the ith stage. Data flow graph (DFG) of the all parallel polar encoder is comparable to that of the Fast Fourier transform [18], [19] except that the polar encoder works on the kernel matrix in place of the butterfly operation. Given the 16 bit DFG, the 4-parallel folded architecture that processes 4 bits at a time can be accomplished with two functional units in each stage. Thus the functional unit computes 2 bits at a time. In the folding transformation finding folding sets, that represents the order of operations to be executed in a functional unit, is the most crucial design factor [15]. To construct folding sets, all operations in the fully parallel encoding are initially classified as separate folding sets. Since the input is in natural order, it is reasonable to alternatively distribute the operations in the consecutive order. Hence each stage consists of two folding sets, each of that entirely odd or even operation to be performed by a separate unit.



Fig.2 Data flow graph of 16-bit polar encoding

Considering the four parallel input sequence in a natural order, stage 1 has two folding sets of {A0, A2, A4, A6} and {A1, A3, A5, A7}. Each folding set contains four elements, and the position of an element represents the operational order in the corresponding functional unit. Two functional units for stage 1 execute A0 and A1 simultaneously at the beginning, and A2 and A3 at the next cycle, and so forth. The folding sets of stage 2 have the same order as those of stage 1, that is {B0, B2, B4, B6} and {B1, B3, B5, B7}, since the four parallel input sequence of stage 2 is equal to that of stage 1.

Furthermore, to determine the folding sets of another stage s, the property that the functional unit processes a pair of inputs whose indices differ by 2s-1. In case of stage 3, two data whose indices differ by 4 are processed together, which implies that the operational distance of the corresponding data is two as the kernel functional unit computes two data at a time. For instance, w2,0 and w2,4 that come from B0 and B2 are used as the inputs to C0. Since both inputs should be valid to be processed in a functional unit, the operations in stage 3 are aligned to the late input data.



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Cyclic shifting the folding sets right by one can be done by inserting one unit delay time, it gives the full utilization of the functional units by overlapping next iterations .As a result, folding sets{C6,C0,C2,C4} and {C7,C1,C3,C5}, of stage 3 are determined, where C6 is overlapped with A0 and B0 in the next iteration. Likewise, the functional unit processes a pair of inputs whose indices differ by 8 in stage 4. The folding sets of stage 4 are {D2, D4, D6, D0} and {D3, D5, D7, D1}. These are obtained by cyclic shifting the previous stage 3 folding sets by two. Generally speaking, a stage whose index s is less than or equal to $\log 2 P$, where P is the level of parallelism, has the same folding sets determined by evenly interleaving the operations in the consecutive order, and another stage whose index s is larger than $\log 2 P$ has the folding sets obtained by cyclic shifting the previous folding sets of stage s - 1 right by $s - \log 2 P$.

Consider the case with accurate delay when an edge wij from functional unit T to functional unit S has a delay of d, the delay requirements for wij in the F-folded architecture can be calculated more precisely as

$$D(\text{wij}) = Fd + t - s$$

(1)

Where t and s denote the position in the folding set corresponding to T and S, respectively. Equation (1) is a simplified delay equation [15] derived by assuming that the kernel functional unit is not pipelined. For the 4-folded architecture, the delay requirements, i.e., D(wij) for $1 \le i \le 3$ and $0 \le j \le 15$ are review in Fig. 3. For example, w2,0 from B0 to C0 requires one delay so d = 0, t = 1, and s = 0. Some edges indicated by circles have negative delays. For the folded architecture to be practical, the delay must be equal or larger than to zero for all the edges. Retiming or Pipelining techniques can be applied to the fully parallel DFG in order to ensure that its folded hardware has non negative delays. Negative delay of every edge should be adjusted by inserting at least one delay element to make the value of equation (1) non negative. We have to make sure that the two inputs of an operation pass through the same number of delay elements from the starting points If delay elements are different, additional delays are inserted to make the paths have the same delay elements.

In Fig. 3, for example, four edges with zero delays are specially marked with negative zeros so additional delays are required due to the mismatch of the number of delay elements. By inserting delay elements the DFG is pipelined, as shown in Fig. 2, where the dashed line indicates the pipeline cut set associated with 12 delay elements. For the pipelined DFG D'(wij) the delay requirements are recalculated based on equation (1) and shown at the below Fig. 3. As a result, 8 functional units and 48 delay elements are enough to implement the 4-folded 4-parallel encoding architecture based on the folding sets.

j	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
D(w _{1j})	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D(w _{2j})	1	1	2	2	0	0	1	1	1	1	(-2)	(-2)	0	0	(-3)	(-3)
$D(w_{3j})$	2	2	-2)	2	0	0	-0	-0	0	0	0	0	-2)	-2)	2	2
D'(w _{1j})	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D'(w _{2j})	1	1	2	2	0	0	1	1	1	1	2	2	0	0	1	1
D'(w _{3j})	2	2	2	2	4	4	4	4	0	0	0	0	2	2	2	2

Fig: 3 Original delay requirements D(wij) and recalculated delay requirements D'(wij)

LIFETIME ANALYSIS AND REGISTER ALLOCATION:

Although a folded architecture for 16-bit polar encoding is presented in the previous section, there is room for minimizing the number of delay elements. The lifetime analysis [16] is applied to find the minimum number of delay elements required to implementing the folded architecture. The lifetime of every variable is represented in the linear lifetime chart illustrated in Fig. 4. The edges in stage 1 has no delay elements, only w2j and w3j and are presented in Fig. 4. For instance, w3,0 will live for two cycles as it is build at cycle 1 and consumed at cycle 3. The number of variables live in each cycle is shown in the chart. According to lifetime chart the number of live variables at the fourth or after



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clock cycles takes into account the next iteration and the current iterations are overlapped. Thus, the number of live variables is 12, by using this 12 delay elements the folded architecture can be implemented. After determination of the number of delay elements, each variable is allocated to a register.





For the above example, the register allocation is tabularized in Fig.5. In the register allocation table [17], first row shows the all registers and every row report how the registers are allocated at the corresponding cycle. According to 4-parallel processing, variables are carefully allocated to registers in a forward manner.

In Fig. 5, an arrow dictates that a variable stored in a register is migrated to another register, and the variable with circle indicates that it remains same cycle. For example, w2,0 and w2,4 are propagated to functional unit to execute operation *C*0 that produces w3,0 and w3,4. At the same time, and are propagated to another functional unit to execute operation *C*1 that gives w3,1 and w3,5. The moving of the other variables can be find by following the register allocation table (Fig5).

Cycle	Stage2	R ₁	R ₂	R ₃	R_4	Stage3	R ₅	R ₆	R ₇	R ₈	R₀	R ₁₀	R ₁₁	R ₁₂
0	$W_{2,0}$ $W_{2,2}$ $W_{2,1}$ $W_{2,3}$													
1	$(w_{2,4})$ $w_{2,6}$ $(w_{2,5})$ $w_{2,7}$	W _{2,2}	(W _{2,0})	W _{2,3}	(W _{2,1})	W _{3,0} W _{3,4} W _{3,1} W _{3,5}								
2	W _{2,8} W _{2,10} W _{2,9} W _{2,11}	(W _{2,6})	W2,2	(W _{2,7})	W _{2,3}	W _{3,2} W _{3,6} W _{3,3} W _{3,7}	W3,4		W _{3,0}		W _{3,5}		W _{3,1}	
3	W _{2,12} W _{2,14} W _{2,13} W _{2,15}	W _{2,10}	(W _{2,8})	W _{2,11}	(W _{2,9})	(W _{3,8}) W _{3,12} (W _{3,9}) W _{3,13}	W _{3,6}	W _{3,4}	W _{3,2}	(W _{3,0})	W _{3,7}	W3,5	W _{3,3}	(W _{3,1})
4		W2,14	W2,10	W2,15	W2,11	W _{3,10} W _{3,14} W _{3,17} W _{3,15}	W _{3,12}	W3,6	W _{3,4}	W3,2	W _{3,13}	W _{3,7}	W _{3,5}	(W _{3,3})
5							W3,14 (W3,12	W _{3,6}	(W _{3,4})	W _{3,15}	(W3,13)	W _{3,7}	W3,5
6							(W3,1		(W _{3,6})		W3,15		(W _{3,7})

Fig. 5. Register allocation table for w2j and w3j

Finally, the following 4-parallel pipelined structure that consists of 8 functional units and 12 delay elements projected to encrypt the 16-bit polar code is illustrated in Fig.6. A trial of 2 functional units takes in place of one stage and thus the delay elements area unit to store variables in line with the register allocation table. The hardware structures for stages 1 and 2 can be accomplished as no delay elements are present whereas for stages 3 and 4 several multiplexers are placed before the functional units to configure the inputs of the functional units. The present architecture constantly processes four samples per cycle in line with the folding sets and the register allocation table. Note that proposed encoder takes an attempt of inputs in a natural order and generates an attempt of outputs in bit reversed order as shown in Fig2. As a result the functional unit in the proposed architecture processes an attempt of 2 bits at a time and pair of consecutive bits is regarded as a single entity.



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Fig. 6. 4-parallel folded architecture for encoding the polar (16, K) codes.

At first the SC algorithm was proposed. Different from other general approaches that can be applied to polar codes, SC algorithm fully utilizes the structure of polar codes, and hence it is specifically suitable for decoding polar codes with low computation complexity. To date, SC algorithm has become the most popular decoding approach for polar codes. Since the date being introduced, polar codes have received significant attention from coding theory community. However, the adoption of new channel codes in IEEE standards does not only depend on the error-correcting performance, but also needs to consider the efficient VLSI design especially for decoders. To date, inefficient hardware performance of polar codes decoder has become the severe challenges that impede the practical use of polar codes, and these disadvantages on hardware are mainly caused by the inherent property of corresponding decoding algorithms. In general, polar codes can be decoded either with successive cancellation (SC) algorithm [5] or belief propagation (BP) algorithm [13]. They are inherent serial decoding approaches. Hence the corresponding hardware decoders have long latency that is intolerable for real-time transmission.



Fig. 7. Proposed 4-parallel folded architecture for decoding the polar (16, K) codes

Here the partially parallel decoder is introduced from encoder ie., the output of encoder is given as input to the decoder. The folding sets for the decoder stage 1 are {A2, A4, A6, A0} and {A3, A5, A7, A1}. For stage 2 are {B6, B0, B2, B4} and {B7, B1, B3, B5}. Cyclic shifting the folding sets right by one by inserting a delay of one time unit to enable full utilization of the functional units by overlapping adjacent iterations. For stage 3 {C0, C2, C4, C6} and {C1, C3, C5, C7}. The folding sets of stage 4 have the same order as those of stage 3, i.e, {D0, D2, D4, D6} and {D1, D3, D5, D7}.



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V. SIMULATION RESULTS



Fig.8. Partially parallel Encoder Output



Fig. 9. Partially parallel Decoder output

VI. CONCLUSION

The encoder and decoder architecture is designed by using folding technique. The number of functional units required in the implementation of the proposed architecture, depends on the code length N and the level of parallelism P. As the level of parallelism increases, the hardware complexity is significantly deteriorated and the complexity of register part is almost independent of the parallelism. This architecture saves the hardware by up to 73 %. Therefore partially parallel encoder and decoder architecture with parallelism of four is designed, which reduces the hardware complexity and delay.

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