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Large Language Model Based SQL Generator (LLSQ)

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ABSTRACT: In the contemporary landscape, accessing databases poses challenges for untrained individuals due to technical complexities. Natural Language to SQL (Structured Query Language) generator models have alleviated these obstacles but struggle with extensive databases, often leading to inaccuracies stemming from inadequate training data. A proposed solution introduces a novel model merging OpenAI models with Langchain technology. This innovative approach aims to overcome limitations by harnessing OpenAI's language capabilities alongside Langchain's rapid processing, enabling efficient handling of vast database information. By leveraging OpenAI's advanced large language models and Langchain's high-speed processing, this model seeks to bridge the gap for non-technical users, swiftly converting natural language queries into precise SQL commands. Its strength lies in accurately interpreting queries even with massive databasets, promising enhanced database accessibility for individuals lacking technical expertise. This innovation could foster inclusivity and user-friendly data utilization by making databases more accessible.

KEYWORDS: Natural language, SQL, Langchain technology, OpenAI, queries

I. INTRODUCTION

SQL (Structured Query Language) queries are commands used to interact with relational databases. These queries are designed to retrieve, manipulate, and manage data stored in a database. SQL is a standard language for interacting with relational database management systems (RDBMS), and it provides a consistent way to perform various operations on databases.

In the continuously changing landscape of technology, the demand for efficient and accessible interfaces to interact with databases has become increasingly perceivable. Traditional methods of querying databases through Structured Query Language (SQL) require a certain level of expertise, hindering accessibility for individuals without a technical background. The challenges faced by Natural Language to SQL generator models, particularly in handling extensive databases, have been a point of contention. These models, designed to simplify database interactions, often encounter inaccuracies due to limitations in their training data. Anisha T S et al. [3] established a model using deep learning to provide SQL queries which leads to the performance of the model that heavily relies on the quality and size of training data, potentially leading to biases or limited applicability to specific domains.

Existing methods often compromise on accuracy and struggle with managing large databases [2] accuracy dependence on a well-defined and accurate database schema for accurate SQL generation. To address these issues, the integration of OpenAI models with Langchain technology to generate SQL Query.

OpenAI's large language models, such as GPT-3, excel at understanding and generating human-like text. This capability is crucial for interpreting natural language queries input by users. The generator can utilize OpenAI's models to comprehend the meaning, context, and intent behind user queries, even when expressed in colloquial or non-standard language.OpenAI can assist in the translation of natural language queries into SQL commands. By training or fine-tuning the language model on SQL-related tasks, the generator can effectively convert user-friendly language into the precise syntax required by the SQL database. This translation process is essential for ensuring that the generated SQL queries accurately reflect the user's intent.



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The integration of OpenAI enhances the performance of Natural Language to SQL generators by combining the capabilities of large language models with the advancements brought by Langchain technology. This merger aims to overcome the challenges posed by extensive databases, providing a more robust and accurate solution for translating natural language queries into SQL commands. The synergy between OpenAI's large language model understanding capabilities and the specialized features offered by Langchain technology seeks to improve the overall accuracy and effectiveness of the generator, ensuring a more seamless and reliable user experience.

II. LITERATURE SURVEY

A.Deshpande et. al. [1] proposed a model aiming to bridge the gap in SQL expertise among users interacting with databases. The methodology involves Natural Language Processing (NLP), and its component employs either pre-defined rules or trained models to map natural language to SQL constructs. However, this NLP technology relies on a well-defined and accurate database schema for accurate SQL generation. T S, Anisha et al. [2] have explored the application of deep learning in text-to-SOL conversion, aiming to automatically translate natural language questions into SOL queries within NLP. The authors conducted research, using common deep learning techniques like Sequence-to-Sequence(Seq2Seq) Models. Training and evaluation were based on datasets containing natural language queries and corresponding SQL queries. The main challenges faced in this research are handling complex queries, generalizing to unseen databases, and ensuring robustness to noise and ambiguity in natural language. K. Lahoti et al. [3] have explored deep learning approaches for text-to-SQL semantic parsing, including Sequence-to-Sequence Models and Structured Prediction Models. These methods are utilized for encoding-decoding language, breaking down SQL queries, and pre-training large models. However, achieving high accuracy in translating complex natural language queries into correct SQL remains challenging. A. Kate et al. [4] address the automatic translation of natural language questions into executable SQL queries. Rule-based systems are used for predefining the rules for constructing the SQL and machine learning models for training datasets of natural language queries and corresponding SQL queries to learn the translation patterns. M. Arefin et al.[5] developed a model that automatically translates natural language questions into executable SOL queries. They incorporated supervised learning for data collection, preprocessing, model selection, training, and evaluation. However, they struggled with the availability of quality datasets for training, which were crucial but limited in size and diversity. P. Parikh et al. [6] address the challenges associated with SOL by creating an application that transforms natural language questions from course instructors into SQL queries. This is achieved through the implementation of a Seq2Seq model, which generates SQL queries to retrieve relevant data displayed on a dashboard through an e-learning domain. X. Xu et al. [7] opted for supervised learning that employs Structured prediction with Sketch-Based decoding, breaking down SQL query generation into structured decisions using sketches for syntactic correctness and reduced search space. The method faces scalability problems. T. Shi et al.[8] the incremental Text-to-SQL model generates SQL queries, employing a Seq2Seq model with attention or a structured prediction approach, enabling context-aware decisions and potential revisions during query generation. It undergoes non-deterministic Oracle training, learning from examples where multiple correct SQL queries are possible for a given input. This approach teaches the model to handle ambiguity, encouraging the production of diversity. But this approach requires a lot of training time. B. Bogin et. al.[9] have come up with a methodology involving Schema Graph Construction, representing the database schema as a graph where nodes depict tables, columns, and attributes. A Graph Neural Network (GNN) is then applied to learn representations of each graph node, capturing structural and semantic information, including relationships and dependencies. In Text-to-SQL parsing, the learned schema graph representations are integrated into the model to inform query generation, guiding the process toward producing SQL queries aligned with the database structure. Moses Visperas et. al.[10] have come up with an idea of text-to-SQL semantic parsing within Natural Language Interfaces to Databases (NLIDBs), likely conducting a comprehensive review and comparison of recent approaches. They stated the need for high accuracy in translating diverse natural language queries to SQL and the challenge of explaining the reasoning behind generated SQL queries, posing difficulties in debugging and addressing user concerns. C. Wang et. al. [11] This model aims to generate diverse and complex SQL queries, surpassing the capabilities of many text-to-SQL systems, utilizing Neural Machine Translation, Structured Prediction, and Grammar Induction methods. The approach enables the generation of intricate queries and the learning of new structures and patterns from additional examples for enhanced flexibility. M. Brockschmidt et.al. [12] developed automatically identifying and extracting SQL queries embedded within natural language text, separating them from surrounding text. In this model the analysis approaches are used, using these approaches parsing of the queries and analysis of query semantics is done to confirm



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alignment with the surrounding text context. N. Yaghmazadeh et. al. [13] have developed a translation of natural language questions about a database into executable SQL queries. Mapping Stage is used for establishing connections between natural language and database structures. Sqlizer dynamically generates a structured SQL query that retrieves the information requested in the natural language question. However, models trained on specific databases might not perform well on unseen database structures. Xiaoyu Zhang et. al. [14] Multi-task SQL (M-SQL), leveraging a pre-trained BERT model for semantic understanding. It integrates modules for value extraction and column matching, identifying query values and associating them with relevant table columns. The joint decoder utilizes BERT's encoded representation and outputs from extraction modules to generate the final SQL query. G. Rao et al. [15] translation to SQL involves establishing formal grammar for sentence structures and word combinations forming semantic parser interpretation. This semantic representation is then matched to the database schema, aligning entities with tables and columns. This process generates a valid SQL query, enabling retrieval of desired data from the database.

III. PROPOSED METHOD

The proposed approach has demonstrated a notable improvement in the accuracy of the Logical form, indicating that the generated query accurately captures the content of the entire input. Additionally, it overcomes the limitations of working with extensive databases and generating multi-column queries. The procedure consists of two independent stages: the first stage is fine-tuning the model, and the second stage is using the fine-tuned model to generate queries.

A. Fine-tuning the model

Fine-tuning improves the performance of models that are available via the API by providing better outcomes than prompting, allowing for training on a greater number of examples than those limited by prompts, saving tokens with succinct prompts, and facilitating queries with reduced latency.

The following steps are included in the fine-tuning process:

1. Prepare and upload training data:

The dataset must have the same conversational structure as the Chat Completions API. This implies that it ought to consist of a series of messages, with each message having its own content and a role (such as the speaker). This can only occur if the dataset is converted to a JSON lines file type.

For example:

{"messages": [{"role": "system", "content": "You are an SQL generation expert tasked with creating a system that generates SQL queries. "},

{"role": "user", "content": schema and question}, {"role": "assistant", "content": SQL query}]}

The assistant will get instructions from the system regarding how to handle the data that the user has given it.

```
messages=[
{ "role": "system", "content": "You are an SQL generation expert tasked with creating a system that generates SQL queries."},
{ "role": "system", "content": "The system takes as input a relational database schema and a natural language question relaten
{ "role": "system", "content": "The goal is to translate the user's question into a valid SQL query that extracts the relevan
{ "role": "system", "content": "Inputs:\n1. Schema: The relational database schema provided by the user. The schema includes :
{ "role": "system", "content": "Output: The system is expected to generate a valid SQL query based on the provided schema and
{ "role": "user", "content": "Votput: The system is expected to generate a valid SQL query based on the provided schema and
{ "role": "user", "content": "Retrieve the names of voters who have not cast any votes in a specific election."}
]
```

training data must then be uploaded via the Files API. This makes it possible to use the data for fine-tuning tasks efficiently. Apply the subsequent steps:

Step-1: Import the OpenAI module.

- Step 2: Set up an OpenAI client instance for communication.
- Step 3: Use binary read mode to access the JSON Lines dataset.



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Step 4: Upload the opened file to a new file using the OpenAI client. Step-5: Set the purpose of the file as "fine-tune."

2. *Create a fine-tuned model:*

After uploading the file, the next action is to initiate a fine-tuning job. To achieve this, use the OpenAI SDK to create a fine-tuning job:

Step 1: Set up an OpenAI client instance for communication. Step 2: Create a fine-tuning job using the OpenAI client.

Step 3: Enter the training file ID (such as "file-abc123") that was returned when the

training file was uploaded to the OpenAI API as its identifier.

Step 4: Select "gpt-3.5-turbo" as the model to be fine-tuned.

When initiating a fine-tuning job, the completion time can vary due to queue dynamics and training factors such as model and dataset size. Once the training concludes, users receive a confirmation email.

Upon successful completion, the fine-tuned model field in the job details will be populated with the name of the specific model.

The overall algorithm for fine tuning:-

Step 1: Import pandas

Step 2: Upload the CSV dataset

Step 3: Encode the dataset with 'cp1252'

Step 4: Create a new JSONL file named 'training.jsonl' and open it in writing mode

Step 5: Create a function CREATE_DATASET which takes a schema, question and its corresponding query as the input parameters.

Step 6: The function creates a message as shown in the above example and returns it.

Step 7: Iterate through the CSV file and send the schema, question, and query one by one to CREATE_DATASET.

Step 8: While iterating write the returned message (every time in a new line) from

CREATE_DATASET in training.jsonl.

Step 9: From the 'openai' library import the 'OpenAI' class

Step 10: Create an instance of the OpenAI class as 'client' with an 'api_key' parameter set as user API KEY, which will be used to communicate with the OpenAI API.

Step 11: Upload the training file using 'file. create()' method under 'OpenAI' and set its 'file'parameter as open 'training.jsonl' file in read binary mode and set the 'purpose' parameter as 'fine-tune'. A file ID will be generated.

Step 12: Fine-tune the model using fine_tuning.jobs.create()' method under the OpenAI class and set its parameters. Set 'training_file' as the file id generated after uploading the training file and set the 'model' as the 'gpt-3.5-turbo'. This will create a fine-tuning job id.

Step 13: Retrieve the fine-tuned model status using fine_tuning.job.retrieve() method under OpenAI. The model name will be generated.

B. Generating SQL queries using the fine-tuned model:

1. Install Python libraries

In the first stage of the workflow, we install three Python libraries:-

- *i) OpenAI:* Incorporated to utilize the robust language models provided by OpenAI for the purpose of generating queries.
- *ii)* LangChain: Crucial for the efficient transformation of the natural language to SQL query generation.



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2. Launch the OpenAI Learning Module

To Utilize advanced language models for SQL query generation, follow the describe process: Step 1: Import the required libraries from the langchain.chat_models module, including OpenAI for interacting with the OpenAI API and ChatOpenAI.

Step 2: Define the API key for authentication with the OpenAI API.

Step 3: Build an instance of the ChatOpenAI class with parameters such as the desired model,temperature, maximum tokens, and API key.

3. Obtain details of the table

Retrieving basic details about tables in the database. The function executes a SQL query against the database to retrieve basic details about tables in the schema. The query selects three columns from the 'information_schema.columns' view: 'table_name', 'column_name' and 'data_type'. The SQL query is executed using the 'cursor.execute()' method with the cursor object passed to function as an argument. Using the 'fetchall()' method, the function returns the fetched rows, which represent details about tables in the schema.

4. Retrieve information about the foreign key

Retrieve information about foreign keys in the database. A function constructs a SQL query stored in the variable 'query_for_foreign_keys'. This query retrieves information about foreign keys from the system catalog tables 'pg_constraint' and 'pg_attribute'. The query is executed using the 'cursor.execute()' method with the cursor object passed to the function as an argument. 'cursor.fetchall()' method function returns the fetched rows, which represent information about foreign keys in the database.

- Create vector representations Step-1: Initialize the CSVLoader object Step-2: Load the data from the CSV file Step-3: Chroma vector database is to be created for vector representation.
- 6. Save the details related to the database schema
 - Step-1: Generate a unique identifier

Step-2: Establish the database connection

Step-3: The information regarding the tables retrieved is stored in the data frame which has to be saved as a CSV file

Step-4: The foreign key details obtained are to be stored in the data frame and are to be saved as a CSV file. Step-5: Develop the vector representations

7. *Generate SQL query*

Step-1: Process the input provided by the user.

Step 2: Load table information from the CSV file generated and iterate through each table in the data frame.

Step 3: Chroma vector search is performed to retrieve the related tables based on input

Step-4: Process the relevant tables from the data frame

Step-5: Relevant foreign keys are processed according to the user input.

Step 6: Interact with the large language models and generate the query.

8. Establishing database connection

Step-1: Connection is developed with the URI of the database provided by the user. Step 2: Save details related to the database

9. *Executing the provided SQL query* Step-1: Connection with the database is established. Step-2: Parse through the provided query



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Step-3: The parsed and extracted query is executed against the database server. Step 4: The response of the executed query against the database is displayed.

The overall algorithm of SQL query generation:

Step 1: Install OpenAI, LangChain, and Psycopg2

Step 2: Import the OpenAI library and assign the user's API key to the '; API_KEY' variable.

Step 3: From the 'OpenAI' library, import the 'ChatOpenAI' class.

Step 4: Initialize an instance of the ChatOpenAI class as 'llm' with parameters. Set 'temperature' to 0 to keep the output more focused and less random, set 'max_tokens' to 1000 to process the input within that limit, set 'openai_api_key' to API_KEY to access the OpenAI API,

and set 'model' to the model name obtained after fine-tuning.

Step 5: Import the pandas package to store the details about the database in the data frame

Step 6: Retrieve the information of the tables in a data frame and create a CSV file for it.

Step 7: The foreign key details are stored in the data frame and saved in a CSV file.

Step 8: Import the 'CSVLoader' class from document_loaders and csv_loaders modules from the Langchain package for loading the data from the CSV files.

Step 9: Import the 'Chroma' class from the vectorstores module from the long-chain package for the vector representation of the CSV files that are created by using chroma.

Step-10: Import the 'uuid4' class from the uuid package to generate a unique ID for every CSV file and vector representation.

Step-11: Import the 'ChatPromptTemplate' class from the langchain package.

Step-12: Import the 'HumanMessagePromptTemplate' class from the chat module of the langchain package, for processing the user input.

Step-13: Import the 'SystemMessagePromptTemplate' class from the chat module of the langchain package, for generating the responses to the user input.

Step-14: Construct a SQL query function for generating a query that takes relevant_tables, table_info, and foreign_key info as its parameters and stores the result in an 'answer. content' variable.

Step-15: Import the 'Psycopg2' package to establish a connection with the database server.

Step-16: Construct an execute query method that takes the generated query and database uri as its parameters for executing the query on the provided database server. The result obtained is stored in the 'result' variable.

IV. RESULTS AND DISCUSSION

In order to evaluate the efficacy of system-generated queries, we conducted experiments with five distinct models, GNN [9], BERT [14], Semantic Parser [15], Seq2Seq [2], Rule-Based Generation [4] and our model - LLSG (Large Language model based SQL Generation) to assess their performance. Our evaluation entails the presentation of results Precision Score, Execution Accuracy and Semantic Accuracy.

1. Precision Score

Precision score refers to the ratio of correctly generated SQL queries to the total number of generated queries. It measures the accuracy of the generated queries. A high precision score indicates that the generator is generating accurate SQL commands in response to natural language queries, minimizing the chances of inaccurate queries.

Precision Score=Number of correctly Generated Queries

Total Number of Generated Queries

Table I depicts the average precision scores of various SQL query generation techniques applied to 50 different SQL queries. Our findings show that LLSG performs better than other approaches, with a precision score of 0.894.



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Table I: Average Precision Score of Various Models

Models	Average Precision Score
GNN	0.482
BERT	0.393
Semantic Parser	0.710
Seq2Seq	0.241
Rule Based Generator	0.358
LLSG	0.894

The precision score percentages for all six models are illustrated in Fig. 1.

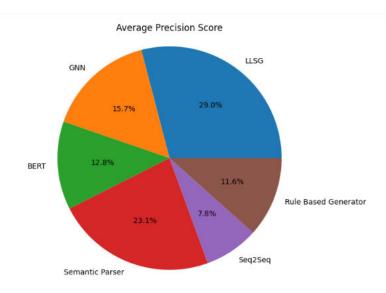


Fig. 1. Precision Score of Models

2. Execution Accuracy

Execution Accuracy evaluates the generated SQL queries for their correctness in terms of executing against the database. Incorrect queries may lead to errors or undesired outcomes. This is crucial as it assesses whether the generated queries are syntactically and semantically correct and whether they retrieve the desired information from the database.

$$Execution \ Accuracy = \frac{No \cdot of \ correctly \ executed \ queries}{total \ no. \ of \ queries} \ x \ 100$$

Table II displays the Execution Accuracy for various models applied to a given set of generated queries. Examining the table, it is evident that the LLSG exhibits the highest Execution Accuracy.



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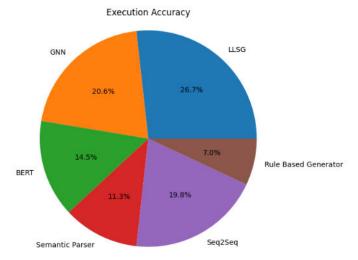
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Table II: Average Execution Accuracy of Different Models

Models	Average Execution Accuracy
GNN	0.71
BERT	0.50
Semantic Parser	0.39
Seq2Seq	0.68
Rule-Based Generator	0.24
LLSG	0.92

The Execution Accuracy percentages for all six models are illustrated in Fig. 2.





3. Semantic Accuracy

Semantic accuracy assesses the extent to which the generated SQL queries convey the correct meaning or intent of the input natural language queries. Semantic accuracy helps ensure that the generated queries are not only grammatically correct but also functionally correct in terms of their intended purpose.

Semantic Accuracy =
$$\frac{Number of Queries With Correct Semantic Capture}{Total Number of Queries} x 100$$

Table III displays the Semantic Accuracy for various models applied to a given set of generated queries. Examining the table, it is evident that the LLSG exhibits the highest Semantic Accuracy.



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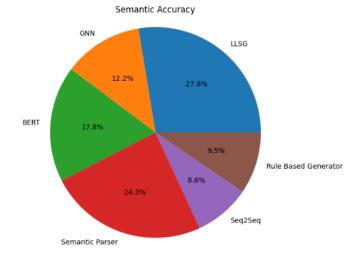
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Table III: Average Semantic Accuracy of Various Models

Models	Average Semantic Accuracy
GNN	0.41
BERT	0.60
Semantic Parser	0.84
Seq2Seq	0.32
Rule-Based Generator	0.29
LLSG	0.90

The Execution Accuracy percentages for all six models are illustrated in Fig. 3.





V. CONCLUSION

The research has illustrated that the LLSG for SQL query generation distinguishes itself by perfectly encapsulating the essence of natural language. In contrast to other models that face difficulties in producing executable queries and struggle to capture the semantics of natural language, the proposed method effectively addresses these issues. Through the implementation of the meticulous fine-tuning of OpenAI's language model with a robust dataset, the proposed method excels in providing comprehensive and conscientious SQL queries, exhibiting its effectiveness in improving SQL generation.

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